

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(s): MAUL et al.

SERIAL NO.: 10/751,741

ART UNIT:

FILING DATE: January 5, 2004

EXAMINER:

TITLE: SUBSTITUTED  $\gamma$ -LACTONE COMPOUNDS AS NMDA-  
ANTAGONISTS

ATTORNEY

DOCKET NO.: 785-011640-US (C01)

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
(37 C.F.R. §1.97(b)(3))

Sir:

This supplemental information disclosure statement is being filed before the mailing of a first Office Action on the merits.

The following information is being disclosed to the Patent and Trademark Office as information that may be material to the examination of the above-identified patent application. Applicants' Attorney is aware of the following reference:

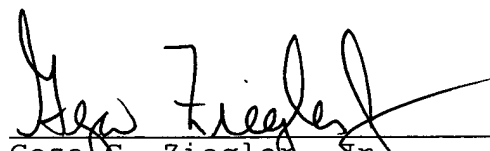
"4-Amido-2carboxytetrahydrquinolines. Structure-Activity Relationships for Antagonism at the Glycine Site of the NMDA Receptor" (pp.1954-1968)

A copy of this reference is enclosed together with a Form PTO-1449.

The filing of this Statement is not to be construed as a representation that a search has been made regarding the claimed invention (37 C.F.R. §1.97(g)) or that no other possible material information exists. In addition, the filing of this Information Disclosure Statement is not to be construed to be an admission that the information cited in the Statement is, or is considered to be, material to patentability (37 C.F.R. §1.97(h)).

The Commissioner is hereby authorized to charge payment for any fees associated with this communication or credit any over payment to Deposit Account No. 16-1350.

Respectfully submitted,

  
\_\_\_\_\_  
Geza C. Ziegler, Jr.  
Reg. No. 44,004

19 MARCH 2004  
Date

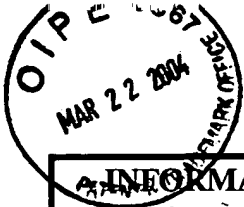
PERMAN & GREEN, LLP  
425 Post Road  
Fairfield, CT 068424  
Customer No. 2512

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date indicated below as first class mail in an envelope address to the Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: 3/19/04

Signature: Shannon Watt  
Shannon Watt



INFORMATION DISCLOSURE CITATION FORM FOR PATENT APPLICATION (FORM PTO-1449) (Substitute)			Docket No.: 785-011640-US(C01)		Serial No.: 10/751,741	
			Applicant(s): MAUL et al.		Group:	
			Filing Date: 1/5/04			
<b>U.S. PATENTS</b>						
Initials	Patent Number	Issue Date	Name	Class	Sub-class	Filing date
<b>U.S. PATENT PUBLICATIONS</b>						
Initials	Publication No.	Pub. Date	Name	Class	Sub-class	Filing Date
<b>FOREIGN PATENT DOCUMENTS</b>						
Initials	Document Number	Date	Country	Name	Translation? Yes/No/n/a	
<b>OTHER DOCUMENTS (Title, Author, Date, Pages, Etc., if known)</b>						
	Leeson et al., "4-Amido-2carboxytetrahydrquinolines. Structure-Activity Relationships for Antagonism at the Glycine Site of the NMDA Receptor", J. Med. Chem. 35, 1992, pages 1954-1968.					
Examiner's Signature:				Date Considered:		
Initial if reference was considered, whether or not citation is in conformance with MPEP. Mark through citation if not considered. Include a copy of this citation form with your next correspondence to the Applicant(s).						